



GLOBAL  
WEALTH  
STRATEGIES &  
ASSOCIATES

**Marc S. Bennett**

**Global Wealth Strategies & Associates, LLC.**

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**Part 2B Brochure**

This brochure supplement provides information about Global Wealth Strategies & Associates (“GWS&A”) that supplements our brochure. You should have received a copy of that brochure. Please contact us at (720) 420-4870 or [Adam@gwsa.us](mailto:Adam@gwsa.us) if you did not receive Global Wealth Strategies & Associates, LLC brochure or if you have any questions about the contents of this supplement. Additional information about Marc Bennett is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD#7580415.

## ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

**Marc S. Bennett**

**Year of Birth:** 1984

### **Educational Background:**

- 2007: Auburn University; B.S.; Communication Disorders
- 2011: Auburn University; Au.D.; Audiology

### **Business Background:**

- *Global Wealth Strategies & Associates LLC*, Investment Adviser Representative, 05/2024 – Present
- *Equitable Advisors LLC*, Registered Representative, 09/2022 – 07/2023
- *Lucid (Hearing Labs Technology)*, Audiologist, 08/2021 – 05/2022
- *TRC Companies Inc*, Document Specialist, 02/2019 – 02/2021
- *Percheron LLC*, Document Specialist, 10/2016 – 10/2018
- *Central Electric Power Cooperative*, rowAMPS Specialist, 10/2015 – 08/2016
- *Summit Resources*, Foreign Lines Coordinator, 04/2015 – 10/2015
- *Summit Resources*, Document Specialist, 03/2013 – 04/2015
- *Hearing Rehab Center*, Audiologist, 01/2012 – 02/2013

## ITEM 3 – DISCIPLINARY INFORMATION

Marc Bennett does not have a history of any investment-related legal or disciplinary events that may be deemed to be material to a client's consideration of Marc Bennett to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Marc Bennett. <https://brokercheck.finra.org/>

## ITEM 4 – OTHER BUSINESS ACTIVITIES

Marc Bennett holds an insurance license to sell insurance products. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He will receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. The client is under no obligation to purchase insurance through Marc Bennett on a commissionable basis. To address this, disclosure is made to the client at the time purchase is made, identifying the nature of the transaction or relationship, the role to be played and any compensation to be paid by the client and/or received by the insurance agent. Clients have the right to decide whether to act on the recommendation and the right to purchase any insurance products through the insurance agent of their choice. The Firm and its Investment Adviser Representative will always act in the best interest of the client.

Marc Bennett is a database coordinator of Gray Hawk Land Solutions, a right-of-way and title services firm, and Mr. Bennett spends less than 10% of his time each month performing. Marc Bennett is compensated for his involvement in the aforementioned outside business activities.

Marc Bennett is a member of Bennedson, LLC, a personal investing group, where Mr. Bennett spends less than 1% of his time each month operating.

Marc Bennett is the Treasurer of Referral Partners Denver, a professional networking group, where Mr. Bennett spends less than 10% of his time each month operating. Mr. Bennett does not receive or expect to receive any compensation for this activity.

Marc Bennett is a silent partner of 137 Shadow Oaks, LLC, an entity used for personal real estate investments. Mr. Bennett spends minimal time each month in this role.

#### **ITEM 5 – ADDITIONAL COMPENSATION**

Marc Bennett does not receive additional compensation beyond the scope of his role as your investment adviser representative and items listed in Item 4.

#### **ITEM 6 – SUPERVISION**

Marc Bennett is supervised through a compliance program designed to prevent and detect violations of federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Adam Way, who is responsible for administering the policies and procedures. As Chief Compliance Officer, Adam Way reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Adam Way may be reached at 720-420-4876